PET

THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

In re Application of

DIEING et al.

PCT International Appl'n: PCT/EP00/01070

U.S. Serial No. 09/913,980 Filed (National Stage): August 21, 2001

For: HAIR COSMETIC FORMULATIONS

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Legal starf International Division

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on: January 10, 2002

Date of Deposite

Herbert B Keil

Person Making Debosite

1. V

January10, 2002

Date of Signature

## PETITION TO AMEND INVENTORSHIP UNDER 37 CFR 1.48(a)

Sir:

Applicants hereby petition under 37 CFR 1.48(a) (see also MPEP 201.03) to add Keith Leslie Rutherford as an inventor in this application. Thus, the inventors are Reinhold Dieing, Michael Gotsche, Peter Hössel, Axel Sanner, Alfred Leinenbach and Keith Leslie Rutherford. A Statement of Keith Leslie Rutherford and a Consent of Assignee to Amend Inventorship are enclosed. The previously submitted Declaration under 37 CFR 1.63 was signed by all of the inventors.

A check for \$130 to cover the processing fee under 37 CFR 1.17(i) is enclosed.

Please charge any shortage in fees due in connection with the filing of this paper, including Extension of Time fees to Deposit Account No. 11.0345. Please credit any excess fees to such deposit account.

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Respectfully submitted, KEIL & WEINKAUF

Jason D. Voight Reg. No. 42,205

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JDV/kas

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For: HAIR COSMETIC FORMULATIONS

Patente, Marken u. Lizenzen 27. DEZ. 2001

#### STATEMENT

I, Keith Leslie Rutherford, hereby declare that my previous omission as a named inventor of the subject matter of the above-mentioned patent application occurred without deceptive intention on my part.

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and may jeopardize the validity of the patent application in the United States of America or any patent issuing thereon.

Keith Leslie Rutherford

Date

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|--|---|----|-----|
| DIEING et al.                            | ) |    | . # |
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| Filed (National Stage): August 21, 2001  | ) |    |     |
| For: HAIR COSMETIC FORMULATIONS          | ) |    |     |

### **CONSENT OF ASSIGNEE TO AMEND INVENTORSHIP**

Assignee, BASF Aktiengesellschaft, as evidenced by the assignment attached hereto, hereby consents to the amendment of inventorship of the above-referenced application to include Keith Leslie Rutherford as an inventor.

By:

Date

Thomas Dörper Authorized Representative BASF Aktiengesellschaft

## **Assignment of Application**

0050/049774

WHEREAS, I (WE)

Reinhold Dieing, Drosselstr. 5 A, 67105 Schifferstadt, Germany Citizen of Germany

Michael Gotsche, Warmweiherstr. 15, 52066 Aachen, Germany Citizen of Germany

Peter Hössel, Birkenweg 10, 67105 Schifferstadt, Germany Citizen of Germany

Axel Sanner, Lorscher Ring 2c, 67227 Frankenthal, Germany Citizen of Germany

Alfred Leinenbach, Gartenweg 3, 67161 Gönnheim, Germany Citizen of Germany

Keith Leslie Rutherford, 21 Lower Road, Wirral CH62 5EG, Great Britain Citizen of Great Britain

respectively, have invented certain new and useful improvements in

Hair cosmetic formulations

for which an application for Letters Patent was executed on

(Application No. 09/913,980

, filed

), and

WHEREAS, BASF Aktiengesellschaft (hereinafter referred to as "ASSIGNEE"), having a place of business at 67056 Ludwigshafen, Germany, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee(s) of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

FURTHER, I (WE) agree that I (WE) will communicate to said ASSIGNEE(S), or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries

The undersigned hereby grant(s) the firm of Messrs. Keil & Weinkauf, 1101 Connecticut Ave., N. W., Washington, D. C. 20036 the power to insert on this assignment any further identification. including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: February 24, 2000

Reinhold Dieing

Date: February 24, 2000

Michael Gotsche

Date: February 24 2000
Peter Hössel

Date: February 24, 2000

Axel Sanner

Date: February 24, 2000

Alfred Leinenbach

Date: August 2, 2001

Keith Leslie Rutherford